

CONTENTS

1.	Conventions	1
2.	Conic sheaves	1
3.	Conic nearby cycles	2
4.	Equivariant nearby cycles	4
	References	5

1. CONVENTIONS

- 1.1. ‘Map’ and ‘morphism’ will be used interchangeably.
- 1.2. ‘Functorial’ and ‘canonical’ are synonyms for ‘natural transformation of functors’.
- 1.3. Topological spaces are assumed to be locally compact.
- 1.4. ‘Variety’ = ‘separated scheme of finite type over $\text{Spec}(\mathbb{C})$ ’.
- 1.5. ‘Smooth map of topological spaces’ means ‘topological submersion’ (see [KS, §3.3]). A morphism of varieties that is smooth in the sense of algebraic geometry is a smooth map of the associated complex analytic spaces.
- 1.6. Sheaves on a topological space will be sheaves of Λ -modules for some fixed unital, commutative ring Λ of finite global dimension.
- 1.7. ‘Local system’ will mean ‘locally constant sheaf’ (not necessarily with finitely generated stalks).
- 1.8. For a topological space X , we write $D^+(X)$ for the bounded below derived category of sheaves. If X is a variety, $D(X)$ will denote the bounded derived category of algebraically constructible sheaves on the complex analytic space associated to X . Unless explicitly stated otherwise, functors on sheaves will always be derived. I.e., we write f_* instead of Rf_* , etc.
- 1.9. Given a topological group G , a G -torsor will mean a principal G -bundle. If G acts on a space X , we write $D_G^+(X)$ for the bounded below equivariant derived category of X (see [BL]). If G is an algebraic group acting (algebraically) on a variety X , then we write $D_G(X)$ for the bounded algebraically constructible equivariant derived category.

2. CONIC SHEAVES

- 2.1. Let \mathbf{R}^+ denote the multiplicative group of strictly positive real numbers, and let X be a topological space endowed with an \mathbf{R}^+ -action. As \mathbf{R}^+ is contractible, we may identify the \mathbf{R}^+ -equivariant derived category $D_{\mathbf{R}^+}^+(X)$ with a full subcategory of $D^+(X)$. Objects in $D_{\mathbf{R}^+}^+(X)$ are called *conic*. An object $F \in D^+(X)$ is conic if and only if¹ for each orbit v of \mathbf{R}^+ in X , the cohomology sheaves $H^i(F)|_v$ are local systems for all $i \in \mathbb{Z}$ (see [BL, Proposition 3.7.3] or [KS, Proposition 3.7.2]).

Example 2.1.1. All local systems on X are conic.

¹The ‘only if’ is immediate from equivariance. The other direction utilizes the contractibility of \mathbf{R}^+ .

2.2. Let $i: X_0 \hookrightarrow X$ be a closed subspace. Write $\mu: \mathbf{R}^+ \times X \rightarrow X$ for the action map. We say that \mathbf{R}^+ *contracts* X to X_0 if the action μ extends to a map $\tilde{\mu}: \mathbf{R} \times X \rightarrow X$ and there is a retraction $r: X \rightarrow X_0$ fitting into a commutative diagram

$$\begin{array}{ccc} \mathbf{R}^+ \times X & \xrightarrow{\mu} & X \\ \downarrow \bar{j} & & \parallel \\ \mathbf{R} \times X & \xrightarrow{\tilde{\mu}} & X \\ \uparrow \bar{i} & & \uparrow i \\ X & \xrightarrow{r} & X_0 \end{array}$$

where $\bar{i}(x) = (0, x)$ and $\bar{j}(t, x) = (t, x)$. We have the following variant of the homotopy invariance of cohomology:

Proposition 2.2.1. *Let $F \in D_{\mathbf{R}^+}^+(X)$. Then i^* applied to the canonical map $r_* r^* F \rightarrow F$ yields an isomorphism $r_* F \xrightarrow{\cong} i^* F$.*

Proof. This is proved in [So, Proposition 1] with \mathbf{R}^+ (resp. \mathbf{R}) replaced by \mathbf{C}^* (resp. \mathbf{C}). The argument² works here verbatim, with the aforementioned changes. Alternatively, a more hands on proof, for X a (real) vector bundle and X_0 its zero section, can be found in [KS, Proposition 3.7.5]. This proof also applies³ to our general situation. \square

Example 2.2.2. Take $X = \mathbf{C}$ and $f = \text{id}$. Then $\mathbf{R}^+ \subset \mathbf{C}^*$ acts on X via multiplication and contracts it to $\{0\}$. Let L be a local system on $\mathbf{C} - \{0\}$. Then L is \mathbf{R}^+ -equivariant. Write $j: \mathbf{C} - \{0\} \hookrightarrow \mathbf{C}$ for the inclusion. Now Proposition 2.2.1 recovers the familiar fact that the stalk cohomology of $j_* L$ at 0 is isomorphic to $H^*(\mathbf{C}^*; L)$.

3. CONIC NEARBY CYCLES

3.1. Let $f: X \rightarrow \mathbf{C}$ be map of topological spaces. Given another map $S \rightarrow \mathbf{C}$, write X_S for the fibre product $X \times_{\mathbf{C}} S$. Let $\eta = \mathbf{C} - \{0\}$, and let $\bar{\eta} \rightarrow \eta$ be the universal cover of η . Then we have a commutative diagram, with all squares cartesian:

$$\begin{array}{ccccccc} & & & \bar{j} & & & \\ & & & \curvearrowright & & & \\ X_0 & \xrightarrow{i} & X & \xleftarrow{j} & X_\eta & \xleftarrow{\pi} & X_{\bar{\eta}} \\ \downarrow & & \downarrow f & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbf{C} & \longleftarrow & \eta & \longleftarrow & \bar{\eta} \end{array}$$

The nearby cycles functor $\psi_f: D^+(X_\eta) \rightarrow D^+(X_0)$ is defined by setting:

$$\psi_f(F) = i^* \bar{j}_* \pi^*(F).$$

3.2. If $g: Y \rightarrow X$ is another map, base change yields a natural transformation $g^* \psi_f \rightarrow \psi_{fg} g^*$ (with an obvious abuse of notation for g^*). If g is smooth, then this is a canonical isomorphism. Similarly, we have a canonical map $\psi_f g_* \rightarrow g_* \psi_{fg}$ which is an isomorphism if g is proper.

²In turn extracted from [Sp] which treats the case $X_0 = *$

³However, I have not checked all the details.

3.3. Assume X and \mathbf{C} are equipped with \mathbf{C}^* -actions and that f is an equivariant map. The evident group structure on $\eta = \mathbf{C}^*$ lifts (uniquely) to a group structure on $\bar{\eta}$ making $\bar{\eta} \rightarrow \eta$ a morphism of topological groups. This makes all spaces and maps in the diagram above $\bar{\eta}$ -equivariant. Further, as $\bar{\eta}$ is contractible, the forgetful functor identifies the equivariant derived category $D_{\bar{\eta}}^+(X)$ with a full subcategory of $D^+(X)$.

Proposition 3.3.1. *Let $F \in D_{\bar{\eta}}^+(X_\eta)$. Then $\bar{j}_* \pi^*(F) \in D_{\mathbf{R}^+}^+(X)$, where \mathbf{R}^+ acts on X via the inclusion $\mathbf{R}^+ \subset \mathbf{C}^*$.*

Proof. As all maps are $\bar{\eta}$ -equivariant, $\bar{j}_* \pi^*(F) \in D_{\bar{\eta}}^+(X)$. Therefore, the cohomology sheaves of $\bar{j}_* \pi^*(F)$ are local systems when restricted to orbits of $\bar{\eta}$ in X . Since $\bar{\eta} \rightarrow \eta$ is surjective, \mathbf{R}^+ -orbits in X are contained in $\bar{\eta}$ -orbits. \square

3.4. Now let \mathbf{C}^* act on \mathbf{C} via multiplication, and let X be a variety with a \mathbf{C}^* -action such that f is equivariant. Let $X_1 = f^{-1}(1)$. Then the map $\alpha: \mathbf{C}^* \times X_1 \rightarrow X_\eta$ defined by $\alpha(t, x) = t \cdot x$ is an equivariant isomorphism (with \mathbf{C}^* acting on $\mathbf{C}^* \times X_1$ via $s \cdot (t, x) = (st, x)$). Let $\bar{q}: \eta \times X_1 \rightarrow X_1$ be the projection and set $q = \alpha^{-1} \bar{q}$.

The notion of a contracting \mathbf{C}^* -action on a variety is defined exactly as in §2.2, but with \mathbf{R}^+ (resp. \mathbf{R}) replaced by \mathbf{C}^* (resp. \mathbf{C}), and with all morphisms/diagrams required to lie in the category of varieties. Assume the \mathbf{C}^* -action on X contracts it to X_0 . Let $r: X \rightarrow X_0$ be the retraction, and let $\bar{\mu}: \mathbf{C} \times X \rightarrow X$ be the extension of the action map that is part of the contraction data. Define $\text{sp}: X_1 \rightarrow X_0$ by

$$\text{sp}(x) = rj\alpha(1, x).$$

Lemma 3.4.1. *The following diagram commutes:*

$$\begin{array}{ccccc}
 f^{-1}(0) & & f^{-1}(\mathbf{C} - \{0\}) & & \mathbf{C}^* \times X_1 \\
 \parallel & & \parallel & & \parallel \\
 X_0 & \xleftarrow{r} & X & \xleftarrow{j} & X_\eta & \xleftarrow[\cong]{\alpha} & \eta \times X_1 \\
 & & & & & & \downarrow \bar{q} \\
 & & & & & & X_1 = f^{-1}(1) \\
 & \searrow \text{sp} & & & & &
 \end{array}$$

Proof. Let $(t, x) \in \eta \times X_1$. Then $rj\alpha(t, x) = \bar{\mu}(0, t \cdot x)$ and $\text{sp}\bar{q}(t, x) = \bar{\mu}(0, x)$. For fixed x , each of these maps gives an extension of the morphism $\mathbf{C}^* \rightarrow X$, $t \mapsto t \cdot x$, to a map $\mathbf{C} \rightarrow X$. Since X is separated, any such extension is unique.⁴ \square

Proposition 3.4.2. *The functors $\psi_f q^*$ and sp_* are isomorphic.*

⁴In different language: the retraction $X \rightarrow X_0$ at a point in X is given by taking the limit of its \mathbf{C}^* -orbit towards 0. As the complex analytic space associated to a variety is Hausdorff, this limit is uniquely defined.

Proof. Thanks to the Lemma, we have a commutative diagram (with top square cartesian):

$$\begin{array}{ccccc}
 & & X_{\bar{\eta}} & \xleftarrow[\cong]{\bar{\alpha}} & \bar{\eta} \times X_1 \\
 & \swarrow \bar{j} & \downarrow \pi & & \downarrow \bar{\pi} \\
 X_0 & \xleftarrow{r} & X & \xleftarrow{j} & X_{\eta} & \xleftarrow[\cong]{\alpha} & \eta \times X_1 \\
 & \searrow \text{sp} & & \searrow q & & \downarrow \bar{q} \\
 & & & & & X_1
 \end{array}$$

Consequently:

$$\begin{aligned}
 \psi_f(q^*F) &= i^* \bar{j}_* \pi^* q^*(F) && \text{(by definition)} \\
 &\cong r_* \bar{j}_* \pi^* q^*(F) && \text{(by Propositions 2.2.1 and 3.3.1)} \\
 &\cong r_* j_* \pi_* \pi^* q^*(F) && \text{(since } \bar{j} = j\pi \text{)} \\
 &\cong r_* j_* (\alpha_* \bar{\pi}_* \bar{\alpha}_*^{-1}) ((\bar{\alpha}^{-1})^* \bar{\pi}^* \alpha^*) q^*(F) && \text{(as } \pi = \alpha \bar{\pi} \bar{\alpha}^{-1} \text{)} \\
 &\cong r_* j_* \alpha_* \bar{\pi}_* \bar{\alpha}_*^{-1} (\bar{\alpha}^{-1})^* \bar{\pi}^* \bar{q}^*(F) && \text{(as } \bar{q} = q\alpha \text{)} \\
 &\cong r_* j_* \alpha_* \bar{\pi}_* \bar{\pi}^* \bar{q}^*(F) && \text{(since } (\bar{\alpha}^{-1})^* \cong \bar{\alpha}_* \text{)} \\
 &\cong \text{sp}_* \bar{q}_* \bar{\pi}_* \bar{\pi}^* q^*(F) && \text{(because } rj\alpha = \text{sp}\bar{q} \text{)} \\
 &\cong \text{sp}_*(F) && \text{(since } \bar{\eta} \text{ is contractible).}
 \end{aligned}$$

□

4. EQUIVARIANT NEARBY CYCLES

4.1. Lifting ψ_f to equivariant derived categories requires no new ideas beyond those in [BL]: ψ_f commutes with smooth pullbacks (in turn a consequence of smooth base change). We use the notation of §3.1 and outline the main points.

4.2. Let X be a space endowed with an action of a topological group G . Assume $f : X \rightarrow \mathbb{C}$ is a G -invariant morphism. That is, $f(g \cdot x) = f(x)$, for all $g \in G$ and $x \in X$.

As in [BL], a resolution of X is a diagram

$$X \xleftarrow{p} X_G \xrightarrow{q} \bar{X}$$

with p equivariant and q a G -torsor. If p is smooth, then it is called a smooth resolution. We say that $\bar{F} \in D^+(\bar{X})$ comes from $D^+(X)$ if there exists $F \in D^+(X)$ along with an isomorphism $p^*F \cong q^*\bar{F}$. The map f induces a morphism $\bar{f} : \bar{X} \rightarrow \mathbb{C}$. This yields the nearby cycles functor:

$$\psi_{\bar{f}} : D^+(\bar{X}_{\eta}) \rightarrow D(\bar{X}_0).$$

Lemma 4.2.1. *If the resolution is smooth and $\bar{F} \in D^+(\bar{X}_{\eta})$ comes from $D^+(X_{\eta})$, then $\psi_{\bar{f}}\bar{F}$ comes from $D^+(\bar{X}_0)$.*

Proof. By definition, there exists $F \in D^+(X_{\eta})$ along with an isomorphism $p^*F \cong q^*\bar{F}$. The nearby cycles functor commutes with smooth pullback. Thus,

$$p^*\psi_f(F) \cong \psi_{fp}(p^*F) \cong \psi_{fp}(q^*\bar{F}) \cong q^*\psi_{\bar{f}}(\bar{F}).$$

□

Proposition 4.2.2. *If G is a Lie group, then the nearby cycles functor $D(X_\eta) \rightarrow D(X_0)$ lifts to the equivariant setting. That is, we have a functor, the equivariant nearby cycles*

$$\psi_f : D_G^+(X_\eta) \rightarrow D_G^+(X_0),$$

compatible with nearby cycles on $D(X_\eta)$, which satisfies all the usual properties of the ordinary nearby cycles functor.

Proof. Let $F \in D_G^+(X_\eta)$. This is the following collection of data: for each resolution $X_\eta \leftarrow E \rightarrow \tilde{X}_\eta$, an object $\tilde{F} \in D^+(\tilde{X}_\eta)$ that comes from $D^+(X_\eta)$, along with compatibility of these objects under pullbacks. Lemma 4.2.1 yields an object $\psi_{\tilde{F}}(\tilde{F}) \in D^+(\tilde{X}_0)$, coming from $D^+(X_0)$, for each smooth resolution $X_0 \leftarrow \tilde{E} \rightarrow \tilde{X}_0$. This family is compatible under smooth pullbacks. Since Lie groups admit sufficiently many acyclic smooth resolutions (in the sense of [BL, §3]), this family extends to one on all resolutions. This defines ψ_f with the requisite properties. \square

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